

## Graphs, trees, lists

Paolo Camurati and Stefano Quer Dipartimento di Automatica e Informatica Politecnico di Torino

## Graphs

* Definition
$>G=(V, E)$
- $\mathrm{V}=$ Finite and non empty set of vertices (simple or complex data)
- $E=$ Finite set of edges, that define a binary relation on v
* Directed/Undirected graphs
> Directed
- Edge $=$ sorted pair of vertices $(u, v) \in E$ and $u, v \in V$
> Undirected
- Edge $=$ unsorted pair of vertices $(u, v) \in E$ and $u, v \in V$


## Applications

| Domain | Vertex | Edge |
| :--- | :--- | :--- |
| communications | phone, computer | fiber optic, cable |
| circuits | gate, register, processor | wire |
| mechanics | joint | spring |
| finance | stocks, currencies | transactions |
| transports | airoport, station | air corridor, railway line |
| games | position on board | legal move |
| social networks | person | friendship |
| neural networks | neuron | synapsis |
| chemical compounds | molecules | link |

## Example: Directed graph



In some contexts self-loops may be forbidden. If the context allows loops, but the graph is self-loopfree, it is called simple

## Example: Undirected graph



In some contexts self-loops may be forbidden.
If the context allows loops, but the graph is self-loopfree, it is called simple

* Edges
$>$ An edge ( $\mathrm{a}, \mathrm{b}$ ) can be
- Incident from vertex a
- Incident in vertex $b$
- Incident on vertices $a$ and $b$

$>$ Vertices a and b are adjacent
- $a \rightarrow b \Leftrightarrow(a, b) \in E$


## Edges

> Undirected graph

- Degree (a) = number of incident edges

$$
\text { Degree }(a)=3
$$

> Directed graph

- In-degree (a) = number of incoming edges

- Out-degree (a) = number of outgoing edges
- Degree (a) = in-degree(a) + out-degree(a)

$$
\begin{aligned}
& \text { In-degree }(a)=2 \\
& \text { Out-degree }(a)=1 \\
& \text { Degree }(a)=3
\end{aligned}
$$



## Paths

* Paths
$\Rightarrow$ A path $\mathrm{p}, \mathrm{u} \rightarrow_{\mathrm{p}} \mathrm{u}^{\prime}$, is defined in $\mathrm{G}=(\mathrm{V}, \mathrm{E})$ as
- $\exists\left(v_{0}, v_{1}, v_{2}, \ldots, v_{k}\right) \mid u=v_{0}, u^{\prime}=v_{k}, \forall i=1,2, \ldots, k\left(v_{i-1}, v_{i}\right) \in E$
$>\mathrm{k}=$ length of the path
$>\mathrm{u}^{\prime}$ is reachable from $\mathrm{u} \Leftrightarrow \exists \mathrm{p}: \mathrm{u} \rightarrow_{\mathrm{p}} \mathrm{u}^{\prime}$
$>$ Simple path p : distinct $\left(\mathrm{v}_{0}, \mathrm{v}_{1}, \mathrm{v}_{2}, \ldots, \mathrm{v}_{\mathrm{k}}\right) \in \mathrm{p}$


$$
\begin{aligned}
& G=(V, E) \\
& p: a \rightarrow_{p} d:(a, b),(b, c),(c, d) \\
& k=3 \\
& d \text { is reachable from a } \\
& p \text { is a simple path }
\end{aligned}
$$

## Loops

* Loops
$\rightarrow$ A loop is defined as a path where
- $\mathrm{v}_{0}=\mathrm{v}_{\mathrm{k}}$, the starting and arrival vertices do coincide
$>$ Self-loop
- Loops whose length is 1
$>$ A graphs without loops is called acyclic



## Connection in undirected graphs

* An undirected graph is said to be connected iff
$\Rightarrow \forall \mathrm{v}_{\mathrm{i}}, \mathrm{v}_{\mathrm{j}} \in \mathrm{V}$ there exists a path p such that $\mathrm{v}_{\mathrm{i}} \rightarrow_{\mathrm{p}} \mathrm{v}_{\mathrm{j}}$
* In an undirected graph
> Connected component
- Maximal connected subgraph, that is, there is no superset including it which is connected
$>$ Connected undirected graph
- Only one connected component



## Connection in directed graphs

* A directed graph is said to be strongly connected iff
$>\forall \mathrm{v}_{\mathrm{i}}, \mathrm{v}_{\mathrm{j}} \in \mathrm{V}$ there exists two paths $\mathrm{p}, \mathrm{p}^{\prime}$ such that $v_{i} \rightarrow_{p} v_{j}$ and $v_{j} \rightarrow_{p^{\prime}} v_{i}$
* In a directed graph
> Strongly connected component
- Maximal strongly connected subgraph
> Strongly connected directed graph
- Only one strongly connected component



## Dense/sparse graphs

* Given a graph
$>\mathrm{G}=(\mathrm{V}, \mathrm{E})$
with
$>|\mathrm{V}|=$ cardinality of set V
$>|E|=$ cardinality of set $E$
* We define
> Dense graph
- $|\mathrm{E}| \cong|\mathrm{V}|^{2}$
$>$ Sparse graph
- $|\mathrm{E}| \ll|\mathrm{V}|^{2} \longrightarrow$ Few edges


## Complete graph

* Definition
$\Rightarrow \forall \mathrm{v}_{\mathrm{i}}, \mathrm{v}_{\mathrm{j}} \in \mathrm{V} \quad \exists\left(\mathrm{v}_{\mathrm{i}}, \mathrm{v}_{\mathrm{j}}\right) \in \mathrm{E}$

* How many edges there are in a complete undirected graph?
$>|E|$ is given by the number of combinations of |V| elements taken 2 by 2
- $|E|=\frac{|V|}{(|V|-2)!\cdot 2!}=\frac{|V| \cdot(|V|-1) \cdot(|V|-2)!}{(||V|-2)!\cdot 2!}=\frac{|V| \cdot(|V|-1)}{2}$

Combinations:
Order does not matter

## Complete graph

* How many edges there are in a complete directed graph?
$>|E|$ is the number of dispositions of $|\mathrm{V}|$ elements taken 2 by 2
- $|\mathrm{E}|=\frac{|V|!}{(|V|-2)!}=\frac{|V| \cdot(|V|-1) \cdot(|V|-2)!}{(|V|-2)!}=|\mathrm{V}| \cdot(|\mathrm{V}|-1)$

Dispositions:
Order matters


## Bipartite graph

## - Definition

> Undirected graph where the V set may be partitioned in 2 subsets $\mathrm{V}_{1}$ and $\mathrm{V}_{2}$, such that

- $\forall\left(\mathrm{v}_{\mathrm{i}}, \mathrm{v}_{\mathrm{j}}\right) \in \mathrm{E}$ and $\left(\mathrm{v}_{\mathrm{i}} \in \mathrm{V}_{1}\right.$ and $\left.\mathrm{v}_{\mathrm{j}} \in \mathrm{V}_{2}\right)$ or $\left(\mathrm{v}_{\mathrm{j}} \in \mathrm{V}_{1}\right.$ and $\left.v_{i} \in V_{2}\right)$



## Weighted graph

* A weighted graph is a graph whose edges have a weight, i.e.,
$>\exists \mathrm{w}: \mathrm{E} \rightarrow \mathrm{R} \mid \mathrm{w}(\mathrm{u}, \mathrm{v})=$ weight of edge $(\mathrm{u}, \mathrm{v})$
$>$ In practice, weights may be integers, reals, positive or negative values, etc.



## Types of Craphs

Directed weighted graphs
Undirected weighted graphs

$$
(u, v) \in E \Leftrightarrow(v, u)=\in E
$$

Undirected unweighted graphs
$\forall(u, v) \in E \quad w(u, v)=1$

Directed unweighted graphs $\forall(u, v) \in E \quad w(u, v)=1$

## Non rooted trees

* A non rooted tree is an
> Undirected, connected, acyclic graph
* A forest is a

> Undirected acyclic graph

* A non rooted tree $G=(V, E)$ with $|E|$ edges and $|\mathrm{V}|$ satisfies the following properties
> Every pair of nodes is connected by a single simple path
$>\mathrm{G}$ is connected
- Removing an edge disconnects the graph
$>\mathrm{G}$ connected and $|\mathrm{E}|=|\mathrm{V}|-1$
$>$ G acyclic and $|\mathrm{E}|=|\mathrm{V}|-1$
$>$ G acyclic
- Adding an edge introduces a loop


## Rooted trees

* A rooted tree is a tree where there is a node $r$ called root
> Parent/child relationship
- $y$ is an ancestor of $x$ if $y$ belongs to the path from $r$ to $x$. In this case $x$ is a descendant of $y$
- $y$ is a proper ancestor of $x$ iff $x \neq y$
- Parent and a child are adjacent nodes
$>$ The root has no parent
> Leaves have no children

$y$ ancestor of di $x$ $x$ descendant of $y$ a parent of $b$ b child of a


## Properties of a rooted tree

Given a rooted tree T the following are common definitions
$>$ Degree $(\mathrm{T})=$ maximum number of children
$>$ Depth $(\mathrm{x})=$ length of the path from the root to x
$>$ Height $(T)=$ maximum depth of a node


## Representation of a tree

* There are at least two representations for nodes of a tree of degree $k$
> Each node may store a pointer to the parent, the key, and k pointers to k children

- Unefficient if only few nodes have indeed degree $k$
- Space is allocated for all k pointers, but many are NULL)


## Representation of a tree

$>$ Each node may also store a pointer to parent, the key, 1 pointer to left child, 1 pointer to right sibling


- Efficient, as each node specifies always 2 pointers, no matter the degree of the tree


## Representation of a tree



## Binary trees

* Definition
$>$ Tree of degree 2
$>$ Recursively T is
- Empty set of nodes
- Root, left subtree, right subtree



## Complete Binary Trees

* A complete binary tree must satisty two conditions
> All leaves have the same depth
$>$ Every node is either a leaf or it has 2 children
* In a complete binary tree of height $h$
$>$ The number of leaves is $2^{h}$
$h=3$
8 leaves
15 nodes
$>$ The number of nodes is


## Balanced binary trees

* In a balanced binary tree all paths root-leaves have the same length

$>$ If T is complete, then T is also balanced
$>$ The opposite is not necessarily true


## Balanced binary trees

* A binary tree is said to be almost balanced if the length of all paths from root to leaves differs at most by 1



## Linear Sequences

* A linear sequence is a finite set of consecutive elements
$>$ A unique index is associated to each element
- $a_{0}, a_{1}, \ldots, a_{i}, \ldots, a_{n-1}$
$>$ A predecessor/successor relation is defined on couples of elements
- $a_{i+1}=\operatorname{succ}\left(a_{i}\right)$
- $a_{i}=\operatorname{pred}\left(a_{i+1}\right)$
* A linear sequence can be stored using different underlying data structures
> Array
> List


## Linear Sequences

* An array stores element contiguously in memory
* Array enables direct access
$>$ Given index i , we access element $\mathrm{a}_{\mathrm{i}}$ without any need for scanning the whole sequence
$>$ The cost of an access does not depend on the position of the element in the linear sequence, thus it is $\mathbf{O}(\mathbf{1})$


One unique chunk
of memory

## Linear Sequences

A list stores element non contiguously in memory
List only allows sequential access
$>$ Given index i , we access element $\mathrm{a}_{\mathrm{i}}$ scanning the linear sequence starting from one of its boundaries, usually the left one
$>$ The access cost depends on the position of the element in the linear sequence, thus it is $\mathbf{O ( n )}$ in the worst case


## Lists

## Operations on lists

> Search

- Scan the list looking for an element whose key field equals a given key
$>$ Insert an element
- At the head of an unsorted list
- At the tail of an unsorted list
- At a position such as to guarantee that the invariance property of a sorted list is satisfied
> Extract an element
- From the head of an unsorted list
- That has a field whose contents equals a deletion key (such an operation usually requires a search for the element to be deleted)


## Lists

* Lists can be generalized into collections of data
- Data are inserted and deleted using different logics suited to obtained the desired result
Among collections we recall
> Stacks
> Queues
> Priority Queues


## * Criteria to extract elements

> Extract the most-recently inserted element

- LIFO policy: Last-In First-Out
- Insertions are usually referred as push
- Extractions are usually referred as pop
- Pushes and pops are performend onto the structure head, usually referred as top of the stack or tos
- The data structure is manipulated using an index (or a pointer) to the tos
* A stack is usually represented as a pile of objects
- A stack usually grows upward (towards smaller memory addresses)



## * Criteria to extract elements

> Extract the least-recently inserted element

- FIFO policy: First-In First-Out
- Insertions are usually referred as enqueue
- Extractions are usually referred as dequeue
- Enqueues are performend onto the structure tail, usually referred as tail
- Dequeues are performend onto the structure head, usually referred as head
- The data structure is manipulated using two indexes (or pointers) to the head and tail




## enqueue(15) <br>  <br> head tail


dequeue


3 Extracted

## Priority Queues

## * Criteria to extract elements

$>$ Each element as an associated priority value
> During each extraction, the highest (or lowest) priority element is extracted

- The insertion logic and the used structure have to guarantees that property


